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Dynamical Local Chirality and Chiral Symmetry Breaking

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We present some of the reasoning and results substantiating the notion that spontaneous chiral symmetry breaking (SChSB) in QCD is encoded in local chiral properties of Dirac eigenmodes. Such association is possible when viewing chirality as a *dynamical effect*, measured with respect to the benchmark of statistically independent left–right components. Following this rationale leads to describing local chiral behavior by a taylor–made *correlation*, namely the recently introduced correlation coefficient of polarization C_A. In this language, correlated modes ($C_A > 0$) show dynamical preference for local chirality while anti–correlated modes ($C_A < 0$) favor anti–chirality. Our conclusion is that SChSB in QCD can be viewed as dominance of low–energy correlation (chirality) over anti–correlation (anti–chirality) of Dirac sea. The spectral range of local chirality, chiral polarization scale Λ_{ch}, is a dynamically generated scale in the theory associated with SChSB. One implication of these findings is briefly discussed.

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1. Introduction

The aim of this work is to gain insight into the phenomenon of spontaneous chiral symmetry breaking in QCD. [1] Despite being one of the cornerstones in hadronic physics, standing virtually uncontested, SChSB remains shrouded in clouds with regard to its dynamical nature. The quark dynamics of QCD is represented by Dirac eigenmodes and at least the low–energy part of this spectrum is accessible via numerical lattice QCD. It is thus both interesting and practical to study the features of low–lying Dirac eigensystem in some detail. For example, it could prove fruitful to inquire what distinguishes low–lying eigenmodes in broken theory from those in symmetric one.

A well-known spectral distinction is that the eigenmodes of a broken theory condense, while those of a symmetric theory do not. Indeed, invoking its spectral representation, scalar fermionic density in the massless limit ("chiral condensate") is proportional to the spectral density of near–zeromodes ("mode condensate"), the fact known as the Banks–Casher relation [2]. However, being an implicit definition of SChSB, mode condensation feature is more of a kinematical constraint than a window into the dynamical specifics of the breaking mechanism.

In going beyond the Banks–Casher relation, it is natural to examine the spinorial structure of the eigenmodes and, in particular, to look for the imprints of broken chiral symmetry in their *chiral properties*. The roots of our present approach go back to Ref. [3] in that the intention is to characterize the behavior of the eigenvectors *locally*. Indeed, global chiral properties of Dirac modes are fixed and with acceptable lattice discretization, such as overlap fermions [4] used here, this is faithfully reproduced at the regularized level. The local behavior, on the other hand, reflects details of dynamics induced by interacting quarks and gluons.

Nevertheless, a significant conceptual change had to take place [5] for local chirality to become a useful tool in bottom–up approach to QCD vacuum structure [6], i.e. in meaningful characterization of QCD vacuum properties without reference to models. This change has to do with viewing chirality as a dynamical concept, quantified relative to the situation when left and right components are independent degrees of freedom. A framework for characterizing dynamical properties of generic polarization phenomena has been built around this idea [5], with absolute X–distribution $P_A(X)$ and correlation coefficient of polarization C_A being the associated quantifiers.

Our main point here is that *dynamical chirality* of near–zeromodes, quantified by C_A, may provide for a dynamical spectral signature faithfully distinguishing chirally broken situation from the symmetric one [1]. Specifically, in the broken case, a band of locally polarized modes ($C_A > 0$) occupies the spectral region around the surface of the Dirac sea that is otherwise anti–chiral ($C_A < 0$). In transition to the symmetric case, the polarized band dissolves into the sea and there is only anti–chirality. Picturing this in reverse, and using properly defined observables, SChSB in QCD–like theories takes on the meaning of *condensing chirality* [1].

There are two points we wish to highlight with regard to this scenario. First, it involves a natural scale, namely the width of a polarized band: the *chiral polarization scale* Λ_{ch} [5]. In view of the above, Λ_{ch} can in fact be viewed as an "order parameter" of SChSB, and its existence has several interesting consequences [1]. Secondly, like mode condensation, chirality condensation is not necessarily tied to the masslessness of dynamical quarks. Indeed, mode condensation is

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[1]Since this presentation at *Confinement X*, a more comprehensive account of this work has been given in Ref. [1].
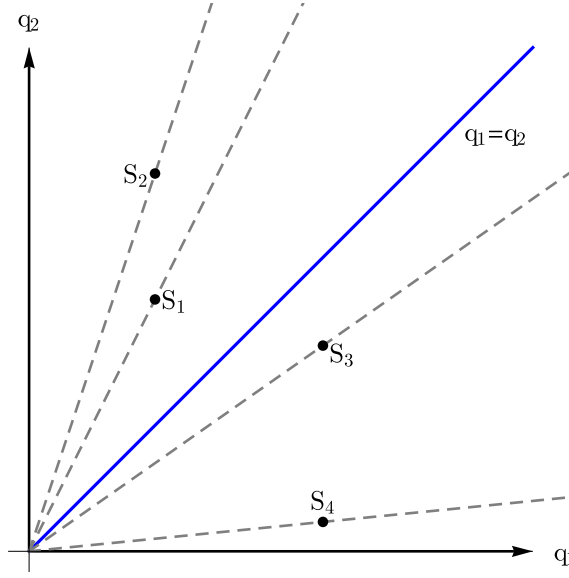
Figure 1: Rays of equal polarization in the sample space of $\mathscr{P}_b(q_1, q_2)$. The solid blue line ($q_2 = q_1$) consists of points with zero polarization. Relative polarization of various samples is a uniquely defined concept.

equivalent to existence of *valence* chiral condensate irrespective of dynamical quark masses. The meaning of our proposal is similar in that dynamical chirality condenses and Λ_{ch} is generated if and only if valence condensate is non–zero, and thus whenever modes themselves condense.

    In this presentation, we discuss the concept of dynamical chirality and focus on some of the basic building blocks of the above picture, namely on establishing that Λ_{ch} is a true dynamical scale and that the situation in N_f=2+1 QCD is consistent with it.

2. Dynamical Polarization and Dynamical Local Chirality

    We first summarize some needed basic elements of the dynamical polarization framework [5]. Assume that a probabilistic object takes values in the linear space that can be decomposed into a pair of equivalent orthogonal subspaces, so that its "sample" Q can be written as $Q = Q_1 + Q_2$ with $Q_1 \cdot Q_2 = 0$. Dynamics of this object is encoded in its probability distribution function $\mathscr{P}_f(Q_1, Q_2)$, and our goal is to adjudicate whether it supports outcomes favoring asymmetric participation of the two subspaces (polarization) or the symmetric participation (anti–polarization). Note that we are not interested in the overall preference of one subspace over the other (global polarization), which is non–existent for cases studied here that satisfy $\mathscr{P}_f(Q_1, Q_2) = \mathscr{P}_f(Q_2, Q_1)$. Rather, we are interested in characterizing "sample polarization", quantifying asymmetry without distinguishing which subspace happens to prevail in any given Q. When samples are labeled by position coordinates, the term *local polarization* becomes appropriate.

    Participation of a given subspace in sample (Q_1, Q_2) is measured by the magnitude of its component $q_i \equiv |Q_i|$, and it is thus sufficient to consider the associated distribution of magnitudes $\mathscr{P}_f(Q_1, Q_2) \to \mathscr{P}_b(q_1, q_2)$. The sets of equally polarized points in this restricted sample space are the rays $q_2 = tq_1$, as shown in Fig. 1. Since the subspaces are equivalent, there is a well–defined ray $q_2 = q_1$ of unpolarized samples, and the degree of polarization has to grow symmetrically away

from this reference. However, other than that, the assignment of polarization value to any given ray – the polarization function – is not fixed a priori, and polarization characteristics of dynamics $\mathscr{P}_f(Q_1,Q_2)$ will in general depend on it.

While it is not possible to uniquely assign the value of polarization to samples, such as those shown in Fig. 1, we can say with certainty e.g. that S_2 is more polarized than S_1, that S_1 is more polarized than S_3, or that S_2 is less polarized than S_4. This has more than trivial meaning when samples to be compared come from two different dynamics, namely two different distributions $\mathscr{P}_b(q_1,q_2)$. In that case this is telling us that relative polarization characteristics based on sample–wise comparisons are absolute: they are invariant under the choice of polarization function [5].

Our goal is to define a *dynamical* polarization measure where "dynamical" means *correlational* and invariant in the above sense. The essence of correlation is that it is referenced to statistical independence, which leads us to sample–wise comparisons with the associated distribution $\mathscr{P}_b^u(q_1,q_2)$ of independent components. More precisely, if $p(q) \equiv \int dq' \, \mathscr{P}_b(q,q') = \int dq' \, \mathscr{P}_b(q',q)$ is the marginal distribution of a component, then $\mathscr{P}_b^u(q_1,q_2) = p(q_1)p(q_2)$. The simplest dynamical characteristic of polarization can then be constructed as follows. Imagine simultaneous drawings of samples from $\mathscr{P}_b(q_1,q_2)$ and $\mathscr{P}_b^u(q_1,q_2)$ and keeping score of their polarization comparisons. The result of such experiment is the probability Γ_A that a sample produced by dynamics under consideration is more polarized than sample from uncorrelated distribution. The correlation coefficient of polarization is then

$$C_A \equiv 2\Gamma_A - 1 \qquad C_A \in [-1,1] \tag{2.1}$$

Thus, polarization–enhancing dynamics ($\Gamma_A > 1/2$) are positively correlated while polarization–suppressing dynamics ($\Gamma_A < 1/2$) are anti–correlated. One can also define a more detailed dynamical polarization measure, namely absolute X–distribution $P_A(X)$, based on differential comparisons to statistical independence [5].

The above can be straightforwardly applied to study of dynamical local chirality in Dirac eigenmodes. Indeed, the two subspaces in question are the left and right spinorial subspace and so $Q = Q_1 + Q_2 \to \psi(x) = \psi_L(x) + \psi_R(x)$. The collection of local values $\psi(x)$ in a given mode or a group of modes provide samples representing the distribution $\mathscr{P}_f(Q_1,Q_2)$. To characterize the Dirac spectrum in terms of dynamical local chirality, we define the average correlation of polarization at scale λ in finite volume V as

$$C_A(\lambda,M,V) \equiv \frac{\sum_k \langle \delta(\lambda - \lambda_k) C_{A,k} \rangle_{M,V}}{\sum_k \langle \delta(\lambda - \lambda_k) \rangle_{M,V}} = \frac{\rho_{ch}(\lambda,M,V)}{\rho(\lambda,M,V)} \tag{2.2}$$

where $C_{A,k}$ is the correlation of k-th mode, M labels dynamical quark masses, and

$$\rho_{ch}(\lambda,M,V) \equiv \frac{1}{V} \sum_k \langle \delta(\lambda - \lambda_k) C_{A,k} \rangle_{M,V} \tag{2.3}$$

is the spectral polarization density defined in analogy to usual spectral density $\rho(\lambda)$ of modes [1]. In this formal language, the theory exhibits mode condensation if $\lim_{\lambda \to 0} \lim_{V \to \infty} \rho(\lambda,M,V) > 0$, and it exhibits *dynamical chirality condensation* if $\lim_{\lambda \to 0} \lim_{V \to \infty} \rho_{ch}(\lambda,M,V) > 0$. Note that the negativity of the latter would imply condensation of anti–chirality.
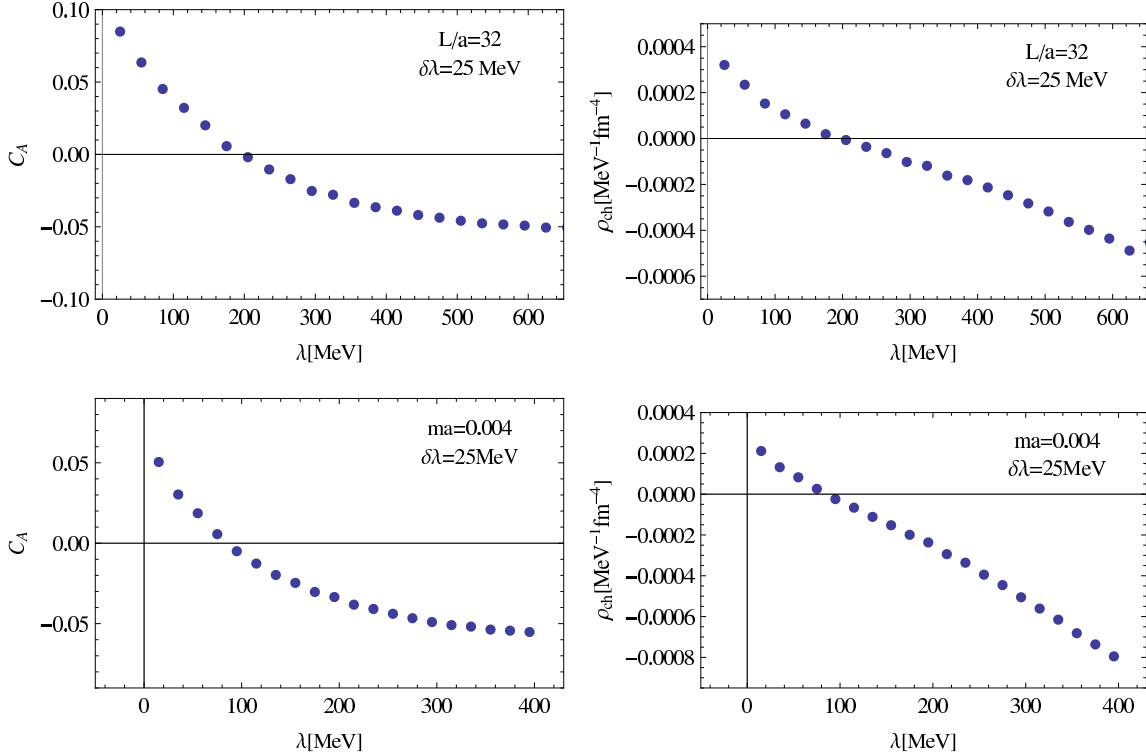
Figure 2: Top: behavior of $C_A(\lambda)$ and $\rho_{ch}(\lambda)$ in quenched QCD (see text). Bottom: the same in N_f=2+1 QCD with domain wall fermions and overlap Dirac probe (see text).

3. The Reality of Chiral Polarization Scale

The band of chirally polarized modes with associated Λ_{ch} have first been seen in quenched QCD [5], in a calculation at fixed physical volume. There is little doubt that quenched QCD is a mode–condensing theory, being studied in that regard since the early years of numerical lattice QCD (see e.g. Ref. [7]). However, to establish the existence of local chirality condensation, and the reality of chiral polarization scale especially, an infinite volume asymptotics has to be examined.

To do this, we have computed low–lying overlap–Dirac spectra on $16^4, 20^4, 24^4$ and 32^4 lattices of quenched QCD with Wilson gauge action at $\beta = 6.054$. Invoking reference scale $r_0 = 0.5$ fm, this corresponds to lattice spacing $a = 0.085$ fm [8]. The parameters of the overlap Dirac operator in all spectral calculations discussed in this talk were set to $r = 1$ and $\rho = 26/19$. We computed 200 eigenmodes with smallest real part and non–negative imaginary part of the eigenvalue, for 100 configurations from each ensemble. Correlation coefficient C_A has then been calculated for each eigenmode. To evaluate the averages (2.2), (2.3) at given λ, the eigenmodes from the interval $(\lambda - \delta\lambda/2, \lambda + \delta\lambda/2)$ were used.

In Fig. 2 (top) we show the behavior of $C_A(\lambda)$ and $\rho_{ch}(\lambda)$ for the ensemble with largest volume. The scenario described in the Introduction is indeed observed with clearly defined band of chirally polarized modes and the associated Λ_{ch}. Note that since $\rho(\lambda) = \rho_{ch}(\lambda)/C_A(\lambda)$, the fact that both of the above dependencies tend to non–zero value at small λ is consistent with the expected mode condensation property. Their positivity means that chirality condenses as well. The volume
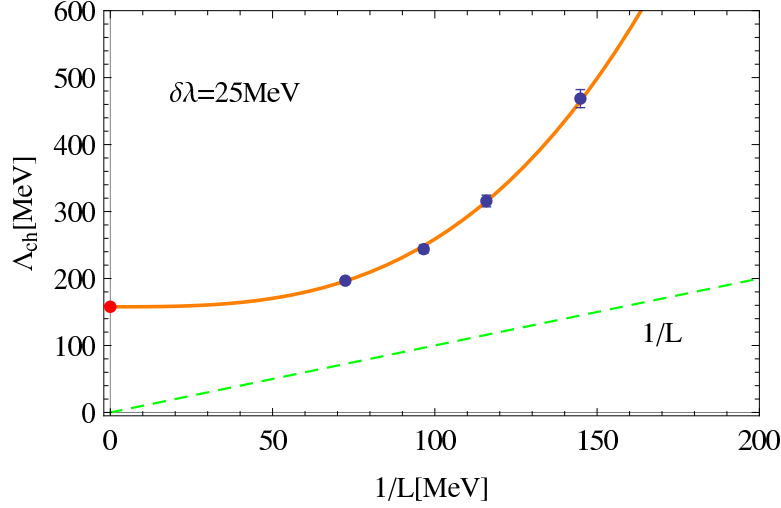
Figure 3: Volume dependence of Λ_{ch} and its infinite volume extrapolation in quenched QCD (see text).

dependence of Λ_{ch} is shown in Fig. 3. The curvature of the data away from the infrared cutoff, shown for comparison, suggests quite convincingly that Λ_{ch} is indeed a finite scale in the theory. The fit utilizing constant plus arbitrary power reveals a strong preference for cubic dependence, and this power was then used to facilitate the infinite volume extrapolation shown.

4. Light Dynamical Quarks

Having established the viability of chiral polarization scale as a dynamically generated feature of quenched QCD, it is now interesting to inquire whether the proposed connection between mode condensation and chiral polarization of Dirac modes survives the effects of light dynamical quarks. The N_f=2+1 QCD at zero temperature is a suitable framework for such investigation both because it is close to the "real world" QCD when quark masses are adjusted accordingly, and because it is expected that this theory condenses for generic quark masses, including in the chiral limit.

To investigate this issue, we analyzed overlap Dirac eigenmodes in the $32^3 \times 64$ ensembles of dynamical N_f=2+1 domain wall fermions generated by RBC/UKQCD collaborations [9]. The quark mass parameters $M \equiv (m_l, m_l, m_h > m_l)$ in these ensembles have the heavy quark mass fixed at $m_h a = 0.03$ while the light masses vary to be $m_l a = 0.008, 0.006, 0.004$. The lattice scale ($a = 0.085$ fm) was set via the physical value of the Ω baryon. Consequently, the heavy mass is fixed approximately at the strange quark value. The pseudoscalar meson masses associated with the three ensembles are $m_\pi = 397, 350$ and 295 MeV.

In Fig. 2 (bottom) we plot the functions $C_A(\lambda)$ and $\rho(\lambda)$ for the ensemble with smallest light quark mass. We observe the behavior qualitatively similar to the quenched case, albeit with chiral polarization scale that is somewhat smaller. The situation for the heavier light quark masses is the same, even quantitatively. Indeed, to assess the trend in the direction of chiral limit, we show in Fig. 4 the mass dependence of Λ_{ch} which turns out to be entirely flat. This suggests that chiral limit may not induce any dramatic effects beyond lowering Λ_{ch} relative to quenched theory, as is already apparent in our data.
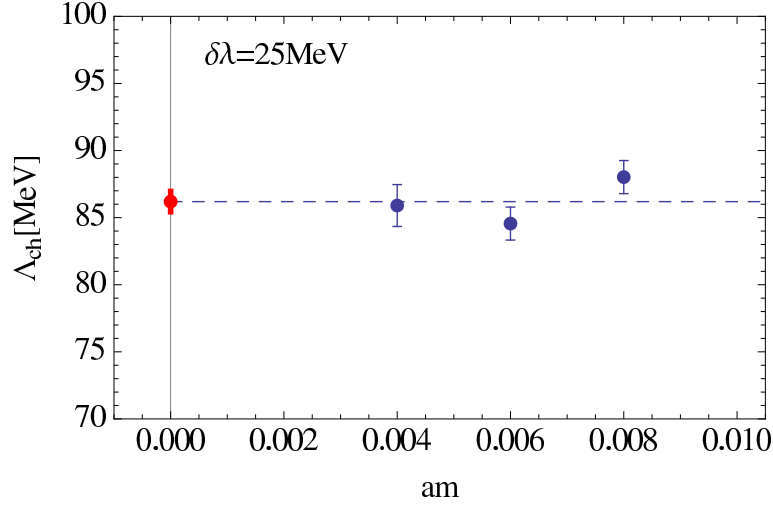
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Figure 4: The light quark mass dependence of Λ_{ch} in N_f=2+1 lattice QCD with domain–wall fermions and its chiral extrapolation via a constant (see text).

The experience with continuum limit extrapolation at fixed volume [5], combined with trends in infinite volume extrapolation shown here, suggest the value of chiral polarization scale $\Lambda_{ch} \approx$ 75–80 MeV both in the chiral limit and at the physical point. The existence of Λ_{ch} is expected to be independent of the regularization (lattice action) used to define the continuum N_f=2+1 theory, but it remains to be seen how large the regularization–dependent spread in these values is. Nevertheless, given that Λ_{ch} is a dynamical characteristic, the variation may in fact be very small.

5. Discussion

The main message of this work is that quark–gluon setups relevant for "real world" at zero temperature, such as N_f=2+1 QCD, have Dirac spectra with striking dynamical feature: there is a band of chirally polarized low–energy modes extending up to a well–defined dynamical scale Λ_{ch}. Such behavior appears to take place at generic quark masses, including in the chiral limit, thus being a feature of spontaneous chiral symmetry breaking in that theory. This observation provides a useful constraint for possible model descriptions of low energy QCD, but also a necessary ingredient in deeper understanding of SChSB's dynamical origin. Indeed, since quark dynamics at small light masses is dominated by low–lying modes we can conclude that chirally broken quark dynamics is "facilitated" by chirally polarized modes.

As can be seen from our discussion, chiral polarization of modes does not arise due to light quarks. In fact, light quarks make it milder. In that regard, chiral polarization appears to be tied to mode condensation property (valence chiral condensate) which is strongest in pure glue QCD and quarks effects weaken it since they slow down the running of coupling toward larger values at low energy. As emphasized in Ref. [1], this meshes well with presumed free–like behavior of modes at high energies, since free fermions are perfectly chirally anti–polarized. Turning on the gauge interaction weakens this order even at high energies, but potentially reverses the dynamical trend at long distances due to rising coupling. The observed existence of chirally polarized band and Λ_{ch} in

quenched QCD means that this indeed happens: chiral dynamical properties of modes qualitatively change at Λ_{ch}, with modes becoming chirally polarized and near–zeromodes condensing. Light quark effects in "real world" QCD at zero temperature are not able to reverse this, resulting in SChSB via condensation of dynamical chirality.

Given the above considerations, it is hard to avoid thinking of Λ_{ch} as a natural scale of broken chiral dynamics, be it current quarks or valence: it is a scale at which the representative of quark dynamics, namely Dirac eigenmode at that scale, starts chirally resembling a representative of purely broken quark dynamics, namely a strict near–zeromode. This view would be further strengthened if mode condensation and chiral polarization only occurred simultaneously within the realm of QCD–like theories, which we take to be SU(3) gauge theories with arbitrary number of fermionic species in fundamental representation, and at arbitrary temperature. Indeed, if this were true then the proposed role of chiral polarization would become inherent to quark–gluon interaction itself, with Λ_{ch} acquiring a fundamental meaning directly related to mechanism of SChSB. In Ref. [1] we have conjectured that the above mode condensation–chiral polarization equivalence indeed holds. While there are many corners for explicit checks of this relationship, it appears to hold with regard to thermal agitation [1, 10, 11], at least in quenched QCD.

The above scenario has interesting conceptual and practical implications discussed in some detail in Ref. [1]. They mostly have to do with novel ways of characterizing broken chiral dynamics. Here we limit ourselves to pointing out that the proposed dynamical insight turns chiral polarization scale Λ_{ch} into a non–traditional "order parameter" of SChSB. Among other things, this has a practical utility in that it is a strictly well–defined concept even at finite volume. Thus, for a given finite system, one can uniquely (and cheaply) delineate the regions of parameter space characterized by chiral polarization and non–zero Λ_{ch}. These then turn into the regions of broken chiral dynamics, or regions of mode condensation, in the infinite volume limit.

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